Comparative Genomic Tools and Databases: Providing Insights into the Human Genome

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The increasing availability of genomic sequence from multiple organisms has provided biomedical scientist with a large dataset for orthologous sequence comparisons. The rationale for using cross-species sequence comparisons to identify biologically active regions of a genome is based upon the observation that sequences that perform important functions are frequently conserved between evolutionarily distant species, distinguishing them from nonfunctional surrounding sequences. This is most readily apparent for protein encoding sequences but also holds true for the sequences involved in regulating gene expression. While these observations have frequently been made retrospectively following the analysis of previously discovered genes or gene regulatory sequences, examination of orthologous genomic sequences from several vertebrates has shown the inverse is also true. Specifically, *studying evolutionarily* conserved sequences is a reliable strategy to uncover regions of the human genome with biological activity. To assist biomedical investigators in taking advantage of this new paradigm, various comparative sequence-based visualization tools and databases have been developed. Already, these new publicly accessible resources have been successfully exploited by investigators for the discovery of biomedically important new genes and sequences involved in gene regulation.

Comparative Genomic Visualization Tools

The two most commonly used comparative genomic tools are VISTA and PipMaker (1, 2). The primary goal of both programs is to turn raw orthologous sequence data from multiple species into visually interpretable plots to drive biological experimentation. Some of their common features include the ability to compare multiple megabases of sequence simultaneously from two or more species, web accessibility and the option to customize numerous features by the user. While each program utilizes different overall strategies, they both allow for

the identification of conserved coding as well as noncoding sequences between species.

VISTA (<u>VIS</u>ualization <u>Tool</u> for <u>A</u>lignment) combines a global alignment program (AVID) (Bray et al., in press) with a running plot graphical tool to display the alignment (1) (http://www-gsd.lbl.gov/vista/). Global alignments are produced when two DNA sequences are compared and an optimal similarity score is determined over the <u>entire</u> length of the two sequences (Fig. 1). In contrast, PipMaker (<u>Percent Identity Plots</u>) utilizes a modified local alignment program (BLASTZ) and displays plots with solid horizontal lines to indicate ungapped regions of conserved sequence (ie. blocks of alignments that lack insertions or deletions) (http://bio.cse.psu.edu/pipmaker/) (2). Local alignments are generated when two DNA sequences are compared and optimal similarity scores are determined over numerous <u>sub-regions</u> along the length of the two sequences (Fig. 1).

For visual comparison of the VISTA and PipMaker outputs, orthologous apolipoprotein E (*ApoE*) genomic sequence from human and chimpanzee were independently examined by web-based versions of each program (Fig. 2A., 2B., Table 1). In both cases, DNA sequences in fasta format were submitted to web-based servers along with an annotation file of the location of exons and repeat sequences. In general, both programs provide similar interpretation of the input sequence files, namely high levels of sequence homology is noted between both of these closely related primate species. In this example, known functional regions (exons and gene regulatory elements) in the interval cannot be readily identified based on conservation due to lack of divergence time between humans and chimpanzees. As a second example, similar human versus mouse *ApoE* genomic sequence comparisons were performed by both VISTA and PipMaker (Fig. 2C., 2D). Comparison of these more distantly related mammals revealed

conserved sequence corresponding to previously defined functional elements. These include exonic sequences which display high levels of homology between human/mouse as well as two experimentally defined *ApoE* enhancers (Fig. 2C., 2D.) (3-5).

These examples emphasize the importance of identifying the proper evolutionary distance for sequence comparisons to provide the correct window for identifying conserved sequences with functionality. For instance, human/chimpanzee comparison of the *ApoE* interval was not informative while human/mouse comparison identified functional coding and noncoding sequences in this interval. While in this case primate/rodent comparison was informative, no two mammalian species sequence comparison can provide the ultimate ideal distance when the entire genome is examined since different regions of the mammalian genome have evolved at significantly different rates (6-11). Thus, evolutionary distances must be calibrated depending on the genomic interval being studied and the biological question under investigation.

A useful characteristic of PipMaker is the linear contiguity of blocks (lines) representing conserved elements with ungapped sequence alignments (ie. blocks of alignments that lack insertions or deletions). This feature can aid in distinguishing coding sequence which is less flexible to insertions/deletions compared to functional noncoding DNA. In Figure 2C, note the linear blocks of alignments beneath *ApoE* exons versus regulatory sequences. A useful aspect of VISTA is the easily interpretable peak-like features depicting conserved DNA sequences. For instance, peaks of conservation are readily apparent beneath exons and gene regulatory sequences (Fig. 2D). While these peak features do not enable clear demarcation of coding exons boundaries, it allows the user to easily identify candidate gene regulatory elements as well as evolutionarily conserved coding domains. Regardless of these differences in the alignment technique and

display, both programs provide biomedical scientists with an easily accessible entry point to visualize comparative sequence data for regions of conservation (and putative function) surrounding a gene or genomic interval of interest. While VISTA and PipMaker are the most commonly used visualization packages, several additional tools for comparative genomic alignments with plot-like outputs are also available (12-15).

Whole Genome Browsers

In the "comparative genomic visualization tools" section, computational tools for gene by gene (or region by region) analyses were described. These original tools sought to provide biologists with user-defined features for custom small-scale analysis, frequently from sequence generated in individual laboratories that was manually input into the VISTA or PipMaker web-server. The recent public availability of large amounts of whole genome sequence for numerous organisms (human, mouse, rat, fugu, tetraodon, ciona, etc.) has enabled large-scale analysis of individual genomes as well as genome to genome comparisons. These whole genome analyses accessible through web-based browsers provide pre-processed databases for the scientific community (16-20).

Annotation Browsers

The completion of a draft sequence and assembly of the human genome was an enormous accomplishment and provided a vast sequence dataset readily accessible to biomedical investigators. While these sequence data were initially

useful for researchers seeking additional genomic sequence for individual genes of interest based on homology searches, the original assembly was simply a large database composed of strings of A's, C's, T's and G's which lacked reference and descriptions of key landmarks. Fortunately, this void has rapidly been filled by the success of large computational projects focused on the detailed annotation of the human genome. Today, three large centers provide human genome annotation; the National Center for Biotechnology Information (NCBI), the University of California at Santa Cruz (UCSC), and the Sanger Center. These annotation outputs are all web accessible and are known as the NCBI Map Viewer, UCSC Genome Browser (21), and Ensembl (22), respectively (Table 1). In addition to exon annotation across the entire genome, these browsers contain a tremendous amount of additional annotation for features such as repetitive DNA, "expressed sequence tags" (ESTs), CpG islands and single nucleotide polymorphisms (SNP).

Comparative Genomic Browsers

In addition to the scientific community's accessibility to gene annotation for the entire human genome, online resources have also recently become available for whole human/mouse comparative sequence data. Several important advances have made whole genome comparisons possible. In addition to the obvious need for sequence data for a given genome, whole genome assemblies have provided the substrates for genome to genome comparisons. Furthermore, the successful efforts of whole genome annotation of genes, including their chromosomal location, has provided the necessary framework to serve as reference for the position of a given alignment in the genome (previous gene by gene comparisons required the user to painstakingly input these annotation features). For mammals, this gene annotation is most detailed for the human genome, though progress is being made in annotating the pufferfish, mouse and rat genomes. As

a consequence, current whole genome comparisons primarily utilize the human genome as the base reference sequence. Three major resources are currently available for pre-processed human/mouse whole genome comparisons; UCSC Genome Browser, VISTA Genome Browser and PipMaker human/mouse analysis (Table 1).

The UCSC Genome Browser has recently integrated comparative sequence information for annotation of the human genome. Similar to this browser's other annotation fields, comparative genomic information is presented as "tracks". To illustrate the UCSC Genome Browser for comparative genomic analysis, several tracks for the human/mouse *ApoE* interval are provided (Fig. 3). comparative data are presented in two formats. First, a highly conserved sequence track is displayed as blocks with the length and shading of the blocks indicative of the size and level of homology between human/mouse (Fig. 3, bottom panel). Second, human/mouse conservation data are depicted as a track with running plots displaying "L-scores" to indicate the level of conservation (Fig. 3, middle plot). The power of this latter scoring system is that conservation is examined in the context of the genomic interval (rather than its strict percent identity for a given interval). Regions of high conservation in otherwise nonconserved regions receive higher L-scores than regions of conservation in relatively highly conserved intervals. The rationale for such a strategy is based on the fact that neutral rates of DNA sequence change are highly variable in the mammalian genome (19). Thus, conservation in regions with faster neutral rates of change is more likely to be functional than conservation in slowly evolving intervals.

The VISTA Genome Browser is a complementary web-based browser for interactively visualizing comparative sequence data using a VISTA plot format (Table 1). Features include customized definition of the window size of a region

under investigation (zoom), tools for extracting DNA sequence from a region of interest and tables of highly conserved DNA within an interval. The web-site is also integrated with the UCSC Genome Browser, allowing for a portal to immediately jump from comparative sequence data to more detailed annotation of the human genome.

As an example of the VISTA Genome Browser output, the human/mouse *ApoE* genomic interval was examined (Fig. 4A). This plot was obtained by submission of the gene symbol "*ApoE*" at the VISTA Genome Browser web-site (Table 1). Note the similar human/mouse VISTA plot obtained through genome to genome comparison compared to the gene by gene analysis performed in Figure 2D. Thus, this resource instantaneously provides pre-computed human/mouse data, in contrast to the detailed custom input files required by the standard VISTA analysis program. Furthermore, this resource allows for immediate "zoom-in" and "zoom-out" options to characterize the interval in more detail. For instance, by zooming out neighboring genes can be readily identified as well as candidate conserved noncoding sequences that may be important in gene regulation of *ApoE* (Fig. 4B).

A third set of pre-processed genome data is available through PipMaker (Schwartz et al., in press) (Table 1). In this analysis, human/mouse genomic alignment plots are provided in a non-browser format and are retrievable for a gene or region of interest as a pdf file.

Efforts are being made to provide pre-processed comparative data beyond human and mouse. For instance, the VISTA and UCSC Genome Browsers have recently added rat genomic sequence. This now allows the examination of human/mouse, human/rat, and mouse/rat comparative data providing the

opportunity to determine what is shared and what is unique to each species. In the near future additional vertebrate genome assemblies will become available and it is expected that they will be integrated into a similar framework. While significant computational challenges exist with such a complex dataset, more efficient algorithms are being developed and the insights from multiple simultaneous genome comparisons is likely to be significant.

Custom Comparison to Whole Genomes

In addition to pre-processed whole genome comparative data, several additional tools allow for any sequence from any organism to be compared to previously assembled and annotated genomes. They include GenomeVista and a server available through UCSC Genome Browser (Table 1).

GenomeVista utilizes the same data sources and algorithmic methods as is used to generate the alignments for the VISTA Genome Browser but allows users to input their own sequence of interest for direct comparison to the human, mouse or rat genomes. These sequence files can be acquired from in-house sequencing projects, or can be automatically retrieved from sequence databases such as GenBank by simply inputting the accession number for the desired sequence at the GenomeVista web-site. The GenomeVista data output is similar to the VISTA Genome Browser but allows for species beyond those available in the current alignment to be examined in the context of the annotated human or mouse genomes.

Similar to GenomeVista, the UCSC Genome Browser also allows custom sequence comparison with either the human, mouse or rat genome assembly (Table 1). This comparison utilizes a modified BLAST alignment program (BLAT) and provides an extremely fast homology search (23, 24). This tool is

useful to quickly determine the mapping location for a sequence of interest and the annotation within that interval. This tool's speed however comes at the cost of reduced alignment sensitivity and it is worth the complementary use of alternative comparative genomic tools such as VISTA or PipMaker. Similar fast homology searches against genomes are available at ENSEMBL and NCBI using the SSAHA (25) and BLAST (23) alignment tools, respectively.

General Insights from Genomic Sequence Comparisons of Humans and Mice

With these computational tools and databases what early comparative genomic insights have been obtained about the human genome? The recent completion of the mouse genome draft sequence led to the surprising result that ~40% of the human genome's three billion base pairs could be aligned to the mouse genome at the nucleotide level (19). Using as a conservation criteria human/mouse sequences with \geq 70% identity over \geq 100bp, greater than one million independent human/mouse conserved elements could be defined (Couronne et al., in press). An obvious question arising from the identification of all this conservation is what (if any) is the functional significance of these conserved sequences?

Currently, the most obvious human genomic functional elements that display high levels of conservation across species are exons. This is not unexpected based on the known functional importance of the proteins that they encode. In one recent study, initial comparative data analyses indicate that greater than 90% of known human exons are conserved within the mouse (Couronne et al., in press) (19). Thus, we might expect that a subset of the approximately one million conserved human/mouse elements coincide with exons. As an exercise, we can roughly estimate the number of exons in the human genome. Current data

suggest there are ~30,000 human genes and with an average of ~8 exons per gene which supports ~240,000 human exons (the average exon size is ~150bp). With a small number of exons not displaying conservation either due to their fast evolution or lack of an orthologous counterpart, this suggests that approximately 20% (200,000/1,000,000) of conserved human/mouse DNA elements are accounted for by coding sequence.

What can be said for the remaining ~800,000 roughly exon-sized conserved human/mouse sequences? It appears a large portion of human/mouse conserved DNA occupies noncoding regions of the mammalian genome, although in contrast to exons we have very few clues as to their immediate functional significance. One of our biggest current genomic challenges is determining how many of these noncoding conserved sequences are functional and their precise biological role(s).

One category of functional noncoding DNA is sequences participating in the regulation of neighboring genes. On a small scale, comparative genomics has proven its ability to uncover important gene regulatory elements based solely on conservation (6, 8, 9, 26-28). This is despite the fact that most transcription factor binding sites are on the order of 6-12 basepairs in length. It appears that many gene regulatory elements are frequently found within much larger blocks (80-500bps) of conservation, most likely since regulatory elements are a composite of numerous transcription factor-binding sites that direct gene expression. Unfortunately, to date we have only catalogued a small number of gene regulatory elements outside of proximal promoters and it is difficult to estimate how many of the 800,000 human/mouse exon-sized conserved noncoding sequences are regulatory in nature.

With human/mouse conservation serving as a filter for prioritizing human sequences likely to have biological activity, we predict that hypotheses based purely on comparative sequence data should increasingly lead to biological insights. In the next section, focus is placed on a limited number of recent examples where comparative genomics has lead to biological discoveries.

Gene Identification

One of the clear utilities of comparative sequence analysis is for exon and gene identification. As stated previously, of the approximately one million human/mouse conserved elements, about one-fifth are probably due to conserved exons. Thus, while a significant fraction of the genes in the human genome have likely already been identified, genome-wide scans for conserved human/mouse sequences should aid in the identification of genes missed in the initial annotation of human sequence alone. Indeed, there have been several recent examples where comparative sequence data has led to the discovery and functional understanding of previously undefined genes.

An area where the complete human/mouse orthologous sequence dataset proved particularly valuable was in the characterization of gene families in humans and mice (29). For instance, by comparing olfactory receptor gene families on human chromosome 19 computational analysis indicated that humans have approximately 49 olfactory receptor genes but only 22 had maintained an open reading frame and appeared functional. This is in contrast to the vast majority of the homologous mouse genes which have retained an open reading frame. This finding of reduced olfactory receptor diversity in humans is consistent with the reduced olfactory needs and capabilities in humans relative to rodents. As a second example, pheromone receptor genes were also examined. In humans, 19 pheromone receptor genes were identified

but only one appeared functional. In contrast, homologous mouse sequences revealed 36 pheromone receptor genes and at least 17 had maintained a complete open reading frame. Again, these data are consistent with the reduced pheromone response in humans relative to mice. This subset of examples highlights the use of comparative genomics to inventory gene content and correlate their differences to species-related biology.

Human/mouse comparative data has also led to the discovery of previously undetected biomedically important genes. Of particular relevance to cardiovascular disease was the discovery of the apolipoprotein A5 (APOA5) gene in the chromosome 11 apolipoprotein gene cluster (30). While the human sequence for the genomic interval containing the intensively studied apolipoprotein APOA1/C3/A4 gene cluster had been available for many years, it was only after comparing the recently available orthologous mouse sequence that investigators were alerted to the presence of APOA5. Through this comparative genomic entry point, functional studies were performed in mice indicating that alteration in the level of APOA5 significantly impacted plasma triglyceride concentrations. Mice over-expressing human APOA5 displayed significantly reduced triglycerides, while mice lacking apoA5 had a large increase in this lipid parameter. In addition, multiple studies in humans have also supported a role for common APOA5 genetic variation in influencing plasma triglyceride concentrations (30-34). To date, consistent and strong genetic associations have been established between minor APOA5 alleles and increased triglycerides in Caucasian, African-American, Hispanic and Asian populations (30-34).

Thus, even in well-studied genomic intervals such as the chromosome 11 apolipoprotein gene cluster, significant discoveries are possible through the exploitation of comparative sequence data. Though whole genome annotation

efforts are providing the location for the majority of genes in the human genome, undefined genes still exist. The above examples provide strong evidence for the utility of comparative genomic data to facilitate the identification of coding sequences based on conservation. An important follow-up question is how well does this strategy apply to the identification of sequences encoding other important biological activities embedded in the human genome?

Identification of Regulatory Sequences

One of the first studies to use solely human/mouse comparative genomics as an approach to identify gene regulatory elements was the examination of a cytokine gene cluster (including five interleukins (IL) and 18 other genes) on human chromosome 5q31 (35). In this work, human/mouse comparative analysis was performed on a 1Mb region and 90 conserved noncoding sequences (≥70% identity over ≥100bp) were identified. Of these elements, several corresponded to previously known gene regulatory elements. One previously undefined conserved noncoding element was explored in finer detail based exclusively on its human/mouse sequence conservation (400 bp at 87% identity between human and mouse). This element was named conserved noncoding sequence 1 (CNS1) and was localized to the 15kb interval between IL-4 and IL-13. To characterize the function of CNS1, transgenic and knockout mouse studies were performed (35-37). Through these studies it was shown that CNS1 dramatically impacted the expression of three human cytokine (IL-4, IL-5, and IL-13) genes separated by more than 120kb of sequence. Thus, from a purely comparative sequence based starting point, conservation of sequence alone lead to the identification of a novel gene regulatory element that acts over long distances to modulate genes important in the inflammatory response. Follow-up studies to the initial discovery of CNS1 further support that this 400bp element contains transcription factor binding sites which co-activate IL-4, IL-5 and IL-13 (36, 37). The role of these interleukins in a variety of common conditions such as asthma and inflammatory bowel disease has focused attention on CNS1 for its activity in these disorders.

A second example where comparative sequence analysis identified gene regulatory sequences prior to functional studies include the examination of a genomic interval containing the stem cell leukemia (SCL) gene (9, 13, 38). In these studies, the orthologous SCL genomic interval was examined in human, mouse, chicken, fugu and zebrafish. All of the exons and eight known gene regulatory elements in the interval were conserved between humans and mice, though only a subset were conserved between human/chicken or human/fish comparison. These data question the utility of sequence comparisons beyond mammals to thoroughly identify gene regulatory elements. However, in this study, power was obtained by using simultaneous deep sequence comparison across all five species of the highly conserved SCL intervals, including the promoter, exon 1 and 3' UTR poly(A) region. Through "phylogenetic footprinting" (39), two highly conserved promoter sequences were shown to be necessary for full SCL expression in erythroid cells. This study showed that pairwise sequence comparisons had variable utility for identifying previously defined functional elements and that deep sequence alignments could reveal highly conserved functional motifs.

While these examples are limited due to the only recent access to large stretches of human and mouse orthologous genomic sequence, they highlight the power of comparative sequence analysis in discovering various functional regions of the human genome. Based on the evolutionary relationship among vertebrates, conservation provides a blueprint to our shared genomic machinery. While evolutionary conservation of DNA sequence alone cannot indicate function, its identification provides a strategy to reveal and prioritize otherwise

unrecognizable sequences for further biological experimentation. Though most current comparative genomic insights have been derived from human/mouse sequence comparisons, more distant evolutionary groups (such as fish, birds, amphibians and reptiles) also will be able to contribute to the further annotation and understanding of the human genome.

Conclusions

The flood of genomic sequence data from a wide variety of animal species has only just begun. While databases, algorithms and strategies for simultaneously examining sequence from evolutionarily related species already exist, large computational and experimental challenges lie ahead as sequence data exponentially increases. A field likely to significantly expand with the increasing availability of genomic sequence from multiple species is the computational identification of gene regulatory and other noncoding functional DNA elements. Though we currently can make reasonable predictions for coding sequences embedded in the mammalian genome, only a limited number of functional elements have been identified in the >97% of the genome which is noncoding. The generation of a large dataset of conserved noncoding sequences coupled with other high throughput genomic information such as gene expression data should contribute to the development of a vocabulary of DNA sequence that dictates gene expression and other noncoding functions embedded within the human genome. In the future, the annotation of the human genome available through the various genome browsers will likely include sequences involved in gene regulation in addition to the already existing annotation of exons.

The recent availability and analysis of human and mouse genomic sequence has provided strong support for the future value of sequence information in biomedicine. We are approaching an era where sequence data is no longer limiting but rather is rapidly accumulating with functional studies lagging behind. Intriguingly, though we are challenged by this glut of sequence information, additional genome sequences from species within and outside of mammals will further help to even better prioritize regions of the human genome for functional studies.

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Table 1: Comparative genomic web-sites for various computational tools and databases.

Comparative Genomic Visualization Tools	
VISTA	http://www-gsd.lbl.gov/vista
PipMaker	http://bio.cse.psu.edu/pipmaker
Whole Genome Annotation Browsers	
NCBI Map Viewer	http://www.ncbi.nlm.nih.gov/mapview
UCSC Genome Browser	http://genome.ucsc.edu/
Ensembl	http://www.ensembl.org/
Whole Genome Comparative Genomic Browsers	
UCSC Genome Browser	http://genome.ucsc.edu/
VISTA Genome Browser	http://pipeline.lbl.gov/
PipMaker	http://bio.cse.psu.edu/genome/hummus/
Custom Comparisons to Whole Genomes	
GenomeVista (AVID)	http://pipeline.lbl.gov/cgi-bin/GenomeVista
UCSC Genome Browser (BLAT)	http://genome.ucsc.edu/
ENSEMBL (SSAHA)	http://www.ensembl.org/
NCBI (BLAST)	http://www.ncbi.nlm.nih.gov/blast/

Figure Legends

Figure 1: Comparison of local and global alignment algorithm strategies. <u>Global alignments</u> (top panel) generated when two DNA sequences (A and B) are compared and an optimal similarity score is determined over the entire length of the two sequences. <u>Local alignments</u> (bottom panel) are produced when two DNA sequences (A and B) are compared and optimal similarity scores are determined over numerous sub-regions along the length of the two sequences. Local alignment search for highly similar regions in two sequences that may not be highly similar in their entirety. The algorithm works by first finding very short common segments between the input sequences (A and B), and then expands out the matching regions as far as possible.

Figure 2: Human/chimpanzee and human/mouse *ApoE* genomic sequence comparisons. **(A)** PipMaker analysis with human sequence depicted on the horizontal axis and percent similarity to chimpanzee on the vertical axis. Exons are indicated with black boxes and repetitive elements with triangles above the plot. Each PIP horizontal bar indicates regions of similarity based on the percent identity of each gap-free segment in the alignment. Once a gap (insertion or deletion) is found within the alignment, a new bar is created to display the adjacent correspondent gap-free segment. **(B)** VISTA analysis with human sequence representing the x-axis and percent similarity to chimpanzee on the y-axis. The graphical plot is based on sliding window analysis of the underlying genomic alignment, in this illustration a 100bp window is used which slides at 40bp nucleotide increments. Blue and pink shading indicate conserved coding and noncoding DNA, respectively. **(C)** PipMaker analysis with human sequence depicted on the horizontal axis and percent similarity to mouse on the vertical axis. **(D)** VISTA analysis with human sequence representing the x-axis and

percent similarity to mouse on the y-axis. Two experimentally defined enhancers are indicated on each of the plots (3-5).

Figure 3: UCSC Genome Browser output for human/mouse sequence comparison of the *ApoE* gene. Human sequence is depicted on the x-axis and the numbering corresponds to the position of human chromosome 19 based on the UCSC golden path June 2002 freeze (21). Note the different scoring system in contrast to percent identity with peaks representing "L-scores" that take into account the context of the level of conservation. Conservation in relatively non-conserved regions receive higher L-scores than similar conservation in relatively highly conserved regions. As a second display of conservation, the "best mouse" track utilizes blocks with the length and shading representative of the conservation.

Figure 4: VISTA Genome Browser output for human/mouse sequence comparison of the *ApoE* gene. **(A)** The same genomic interval was examined as found in Figure 3. **(B)** A two-fold "zoom out" was performed on the interval found in panel **(A)** which allows the neighboring *ApoE* genes to be determined.

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